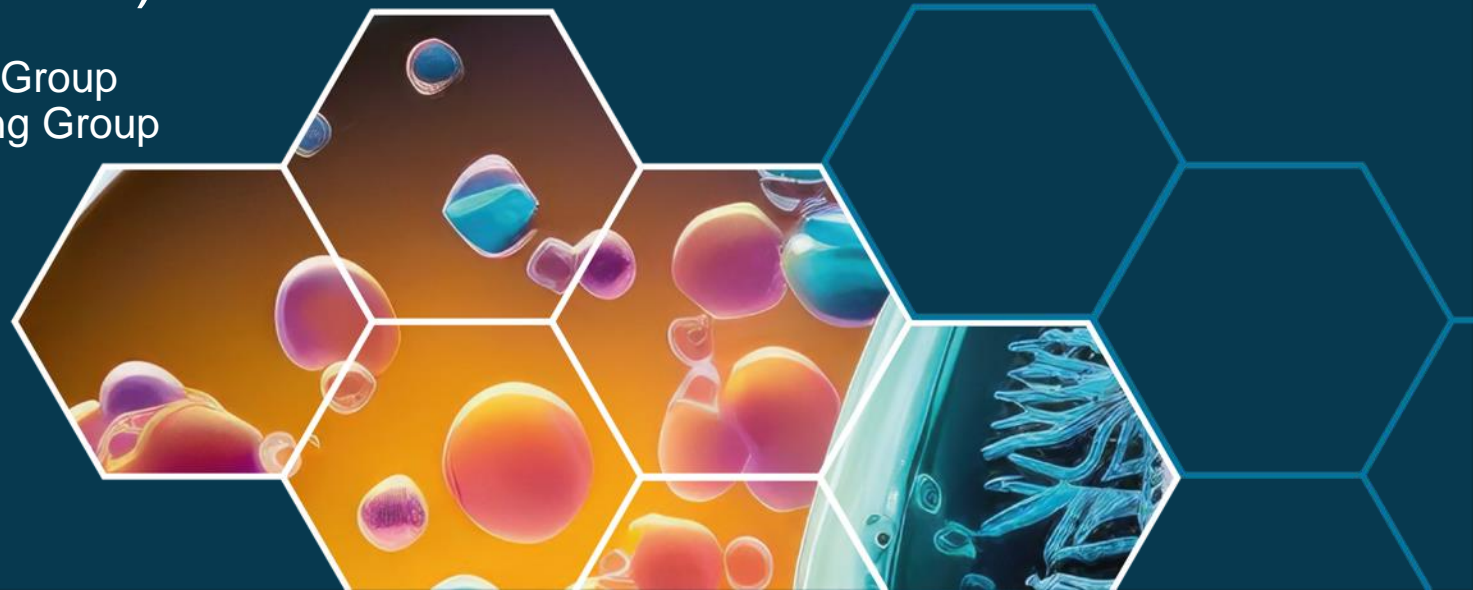




SAPEA Evidence Review Report (ERR)

Solar Radiation Modification (SRM)

Professor Johannes Quaas, Co-Chair, SRM Working Group
Professor Benjamin Sovacool, Co-Chair, SRM Working Group



Members of the working group

- Johannes Quaas, Leipzig University, Germany (co-chair)
- Benjamin Sovacool, Boston University, United States; University of Sussex, United Kingdom; Aarhus University, Denmark (co-chair)
- Roberto Cantoni, IQS School of Management, Universitat Ram3n Llull, Spain
- Gabriel Chiodo, ETH Zurich, Switzerland
- Olaf Corry, University of Leeds, United Kingdom
- Ilias Fountoulakis, Academy of Athens, Greece
- Oliver Geden, German Institute for International and Security Affairs (SWP), Germany
- Marco Grasso, Universit3 degli Studi di Milano-Bicocca, Italy
- Aarti Gupta, Wageningen University, Netherlands
- Clare Heyward, UiT: The Arctic University of Norway, Norway
- Hannele Korhonen, Finnish Meteorological Institute, Finland
- Ulrike Lohmann, ETH Zurich, Switzerland
- Axel Michaelowa, University of Zurich, Switzerland; Perspectives Climate Research, Germany
- Andreas Oschlies, GEOMAR Helmholtz Centre for Ocean Research Kiel, Germany
- Florian Rabitz, Kaunas University of Technology, Lithuania; Vilnius University, Lithuania
- Herman Russchenberg, Delft University of Technology, Netherlands
- Trude Storelvmo, University of Oslo, Norway
- Katalin Sulyok, E3tv3s Lor3nd University, Hungary
- Massimo Tavoni, Politecnico di Milano, Italy; Euromediterranean Center on Climate Change (CMCC), Italy
- Simone Tilmes, University Corporation for Atmospheric Research, United States



| | | | |
|--|------------|--|-----------|
| Executive summary | 10 | | 72 |
| Background | 10 | | |
| Definitions | 11 | | |
| SRM technology options | 11 | | |
| General rationales for SRM research and deployment | 12 | | |
| Objections to SRM research or deployment | 13 | | |
| Weighing SRM risks and possible benefits | 14 | | |
| Actor networks, interest groups, and stakeholder/public perceptions | 15 | | |
| Considerations of ethics and justice | 16 | | |
| Feasibility enablers and constraints of SRM | 17 | | |
| Governance dimensions, legal issues, policy design | 18 | | |
| Policy options on SRM research | 20 | | |
| Policy options on SRM deployment | 21 | | |
| Policy options on monitoring, capacity building and tool development | 22 | | |
| Chapter 1: Introduction | 23 | | |
| Chapter 2: Proposed solar radiation modification interventions | 31 | | |
| Stratospheric aerosol injection (SAI) | 32 | | |
| Cloud brightening (CB) | 39 | | |
| Cirrus cloud thinning (CCT) | 45 | | |
| Surface brightening | 48 | | |
| Space mirrors | 50 | | |
| Chapter 3: Effects, impacts, and side-effects of solar radiation modification | 52 | | |
| Method-independent effects | 52 | | |
| Effects from stratospheric aerosol injection | 54 | | |
| Effects from cloud brightening | 69 | | |
| Effects from cloud thinning | 70 | | |
| Chapter 4: Technical and scientific requirements and prerequisites | 72 | | |
| Key messages | 72 | | |
| Modelling tools | | | 72 |
| Satellite observations and monitoring | | | 76 |
| Laboratory and field campaigns for cloud brightening | | | 83 |
| Chapter 5: Actor networks and interest groups, community and social perceptions, and expert perceptions | 89 | | |
| Key messages | | | 89 |
| Actor networks and interest groups | | | 89 |
| Commercial actors | | | 90 |
| Civil society | | | 91 |
| Scientific bodies | | | 91 |
| Academic institutions | | | 92 |
| Government actors | | | 92 |
| Public and social perceptions | | | 92 |
| Expert elicitation and elite perceptions | | | 101 |
| Chapter 6: Ethical and justice considerations, feasibility and required conditions | 107 | | |
| Key messages | | | 107 |
| Considerations of ethics and justice | | | 108 |
| Economic feasibility and required conditions | | | 120 |
| Institutional feasibility and required conditions | | | 125 |
| Political, security and geopolitical feasibility and required conditions | | | 127 |
| Chapter 7: Governance dimensions and legal issues | 132 | | |
| Key messages | | | 132 |
| Research governance | | | 133 |
| Deployment governance | | | 141 |
| State obligations under international law and legal principles | | | 155 |
| Chapter 8: Suggestions for policy options and conclusion | 169 | | |
| Key messages | | | 169 |
| Policy options on SRM research | | | 169 |
| Policy options on SRM deployment | | | 171 |
| Policy options on SRM monitoring, capacity building and tool development | | | 172 |
| Risk considerations for policy deliberation | | | 173 |



Solar Radiation Modification – why consider it?

• Definition of SRM

- A deliberate and potentially large-scale intervention in the Earth's climatic system, with the aim of temporarily or permanently reducing some of the impacts of elevated greenhouse gas concentrations

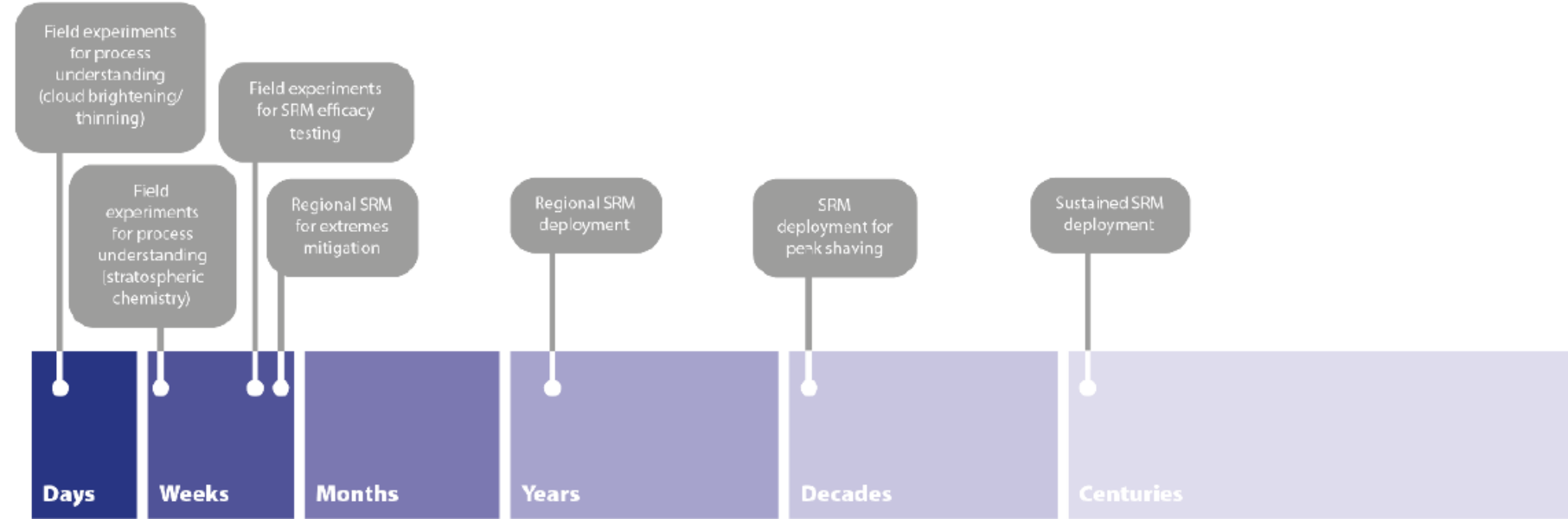
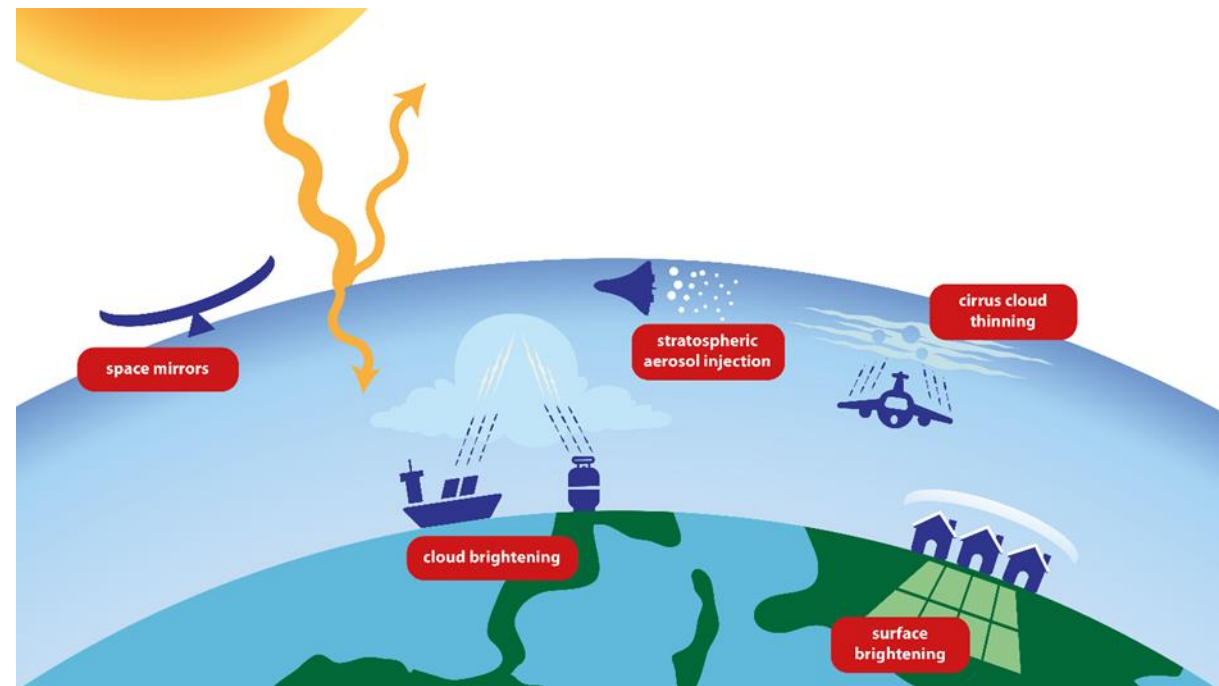


Fig. 1. Temporal scales of SRM deployment and SRM outdoor research. The temporal scales go along with spatial scales. Field experiments are typically of order tens to a few hundreds of kilometres in extent (e.g., Seidel et al., 2014). Regional deployment is at sub-continental scales (e.g., Quaas et al., 2016). Peak-shaving or sustained deployments are global in extent. The temporal scale of SRM for peak shaving assumes net-zero greenhouse gas emissions are achieved in this timeframe.

What are the technologies?

- Reflect sunlight (or more emit more infrared)
- Net cooling effect
 - Stratospheric aerosol injection (SAI)
 - Cloud brightening
 - Cirrus cloud thinning
 - Surface brightening
 - Space mirrors



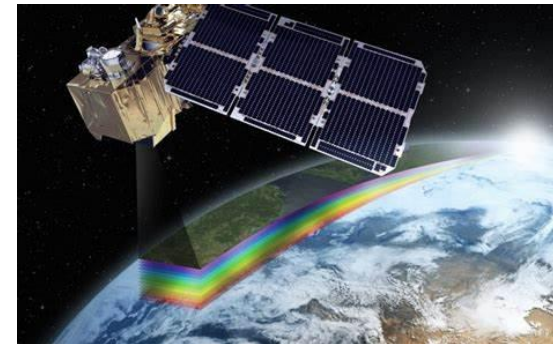
What are the potential effects, impacts and side-effects of SRM?

- SRM has the potential to counteract the impacts of greenhouse gas
- SRM does not address the direct impacts of CO₂ (e.g., ocean acidification)
- SRM would have regionally diverse impacts on temperature
- SRM acts on the hydrological cycle i.e. rainfall patterns
- Multiple other effects (e.g. changes to plant growth, energy system)



What are the technical and scientific requirements and prerequisites for SRM?

- Studied so far only in climate models
 - Models not yet able to anticipate all effects and impacts
 - Some relevant processes are only coarsely integrated or still missing
- Satellite monitoring technologies to detect and quantify SRM exist
 - but some of such instruments not yet on operational European satellites.
- Technology readiness level (TRL) is very low



Risks and challenges facing SRM deployment

| Method | Cooling potential (model studies) | Uncertainty of effects | Observational “analogue” | Lifetime | Regional option | Technological readiness | Remark |
|----------------------------|-----------------------------------|--------------------------|----------------------------|----------------------|----------------------|-------------------------|--|
| SAI | Global (2.1.1) | Moderate (2.1.3) | Volcanic eruptions (2.1.2) | > 2 years (2.1) | Possibly polar (2.1) | Low (2.1.4) | Additional side effects (3.2) |
| CB | Up to global (2.2.1) | Moderate to high (2.2.5) | Diverse tracks (2.2.2) | Weeks (2.2.1) | Yes (2.2.1) | Low (2.2.4) | |
| CCT | Unclear (2.3.1) | Very high (2.3.2) | Little (2.3.2) | Weeks (2.3.1) | Yes (2.3.1) | Very low (2.3.4) | Terrestrial spectrum (better compensation) |
| MCT | Unclear (2.3.1) | Extremely high (2.3.2) | Little (2.3.4) | Weeks (2.3.1) | Yes (2.3.1) | Extremely low (2.3.2) | |
| Surface brightening | At best local | Low | Land cover diversity | Decades | Only local | High | No option for global cooling |
| Space mirrors | Global | Low | None | Decades to centuries | No | Virtually zero | |

Who are the actors and networks, and what are stakeholder perceptions of SRM?

- Variety of actor coalitions that support SRM, oppose it or are ambivalent
 - State actors such as governments but also commercial actors, civil society groups, scientific bodies, and academic institutions
- The public is largely unfamiliar with SRM options
 - Preferences appear to be strongly context dependent, particularly by perceptions and experiences of climate change, and strongly informed by values
- Perceptions held by experts and those involved in decisions to fund research, implement policy, or shape deployment outcomes outline rationales in favour of SRM but also several points of concern

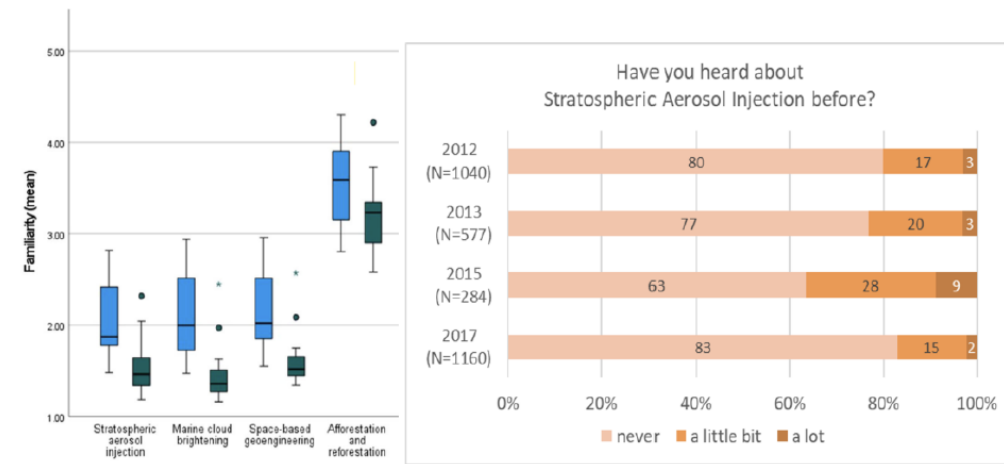


Figure 5. Public familiarity with SRM options. The left panel based on Baum et al (2024), 1=never heard of it, 5=very familiar. The right panel shows awareness about Stratospheric Aerosol Injection in public perceptions surveys in Germany 2012, 2013, 2015, and 2017; all surveys use the same question and response format after study-specific descriptions of SAI. For description of data collection see: 2012: Merk et al (2016); 2013: Braun et al (2018); 2015: unpublished data description of data collection see Merk et al (2016); 2017: unpublished data (Merk, Baatz & Rehdanz, in prep) description of data collection see survey 1 in Merk et al (2019).

| Authors | Year | Country focus | Technology (only SRM methods listed) | Methods |
|----------------------------|------|--|---|---|
| Shepherd | 2009 | UK | Geoengineering in general | Four focus groups + opinion poll (1000 respondents) + specialist workshop |
| Ipsos MORI (NERC) | 2010 | UK | | Workshops in 3 UK cities (85 participants) + final event; discussion groups; online survey (65 respondents); open access events |
| Bellamy and Hulme | 2011 | UK | Geoengineering in general | Email questionnaire (287 participants - students) + focus groups (15 participants - students) |
| Mercer et al. | 2011 | UK, US, Canada | SRM in general | Survey (3105 participants) |
| Borick and Rabe | 2012 | US | SRM in general | Survey (887 participants) |
| Bostrom et al. | 2012 | Austria, Bangladesh, Finland, Germany, Norway, USA | Stratospheric aerosol injection | Survey (664 participants - economics undergraduate students) |
| Pidgeon et al. | 2012 | UK | Geoengineering in general | Semi-structured interviews (53 participants); Survey (1822 participants) |
| Hiller and Renn | 2012 | Germany | Geoengineering in general | International media analysis, 2008-2010 |
| Corner et al. | 2013 | UK | Geoengineering in general (including Stratospheric aerosol injection) | Deliberative workshops in 4 UK cities (11x4 participants) |
| Pidgeon et al. | 2013 | UK | Stratospheric aerosol injection | Deliberative workshops in 3 UK cities (32 participants, in three groups) |
| Macnaghten and Szerszynski | 2013 | UK | SRM in general | Deliberative focus groups in 3 UK cities (around 50 participants, in seven groups) |
| Corner and Pidgeon | 2014 | UK | Geoengineering in general | Survey experiment: three treatment groups (610 participants) |
| Scheer and Renn | 2014 | Germany | Geoengineering in general | Literature review and Group Delphi workshop for experts |
| Wright et al. | 2014 | New Zealand, Australia (quantitative) | Stratospheric aerosol injection; Cloud brightening; Mirrors in space | Semi-structured interviews (30 participants) and quantitative brand image analysis (2028 participants) |
| Amelung and Funke | 2015 | Germany | Geoengineering in general (interview) and | Semi-structured interviews and budget-allocation task (98 participants - students) |

The main themes that emerge from public perception studies (see Table 1) on SRM are as follows:

1) Moral hazard: the possibility that the development and implementation of technological measures to reduce the impact of climate change may generate a perceived permission structure for citizens, industries, and governments not to have to reduce emissions as much. Evidence of moral hazard is empirically very challenging to generate. Existing analyses are inconclusive as some studies observe some moral hazard effects, whereas others find no results or even an increase in support for emissions mitigation after exposure to the notion of SRM (Corner and Pidgeon 2014; Visschers et al. 2017; Amelung and Funke 2015; McLaren et al. 2016; Merk et al. 2016, 2019; Raimi et al. 2019; Fenn et al. 2023; Baum et al. 2024b). (See also mitigation deterrence below).

2) 'Messing with nature': the perception that human beings may, by intervening or tampering with climate processes be acting in contravention to the natural order, with accompanying expected consequences from the natural elements and/or religious connotations. While many studies observe 'messing with nature' sentiments, some also find that with closer interaction, SRM can be viewed to remedy humanity's degrading impact on nature (Mercer et al. 2011; Corner et al. 2013; Corner and Pidgeon 2015; Wibeck et al. 2015, 2017; Asayama et al. 2017; Visschers et al. 2017; Buck 2018; Carr and Yung 2018; Jobin and Siegrist 2020; Klaus et al. 2020; Raimi et al. 2020; Carvalho and Riquito 2022; Bolsen et al. 2023; Fenn et al. 2023; Baum et al. 2024a, 2024b).

3) Unnaturalness of SRM techniques: related to the previous point, aversion to those techniques perceived to be more "unnatural", with this factor an important predictor of the potential acceptability of SRM. While initial reactions tend to view SRM as unnatural, this effect can vary with framing effects (e.g. relating to the Anthropocene or SRM seen as counteracting a reduction in bunker fuel emissions (Mercer et al. 2011; Corner et al. 2013; Corner and Pidgeon 2014, 2015; Bellamy et al. 2016; Raimi et al. 2020; Mahajan et al. 2019; Bolsen et al. 2023; Baum et al. 2024b)).

The main themes that emerge from public perception studies (see Table 1) on SRM are as follows:

4) Climate change harms and exposure: the degree to which individuals or groups perceived climate change to have a severe impact on their lives, or were directly harmed by climate change or natural disasters, has a crucial influence on support for SRM techniques and more support for SRM research has been found in Global South countries (Mercer et al. 2011; Borick and Rabe 2012; Bostrom et al. 2012; Pidgeon et al. 2013; Merk et al. 2015, 2016; Gregory et al. 2016; Visschers et al. 2017; Braun et al. 2018b; Sugiyama, Asayama, and Kosugi, 2020; Sugiyama, Asayama, and Kosugi, 2020; Raimi et al. 2020; Jobin and Siegrist 2020; Klaus et al. 2020; Cherry et al. 2021; Bolsen et al. 2023; Rosenthal et al. 2023; Baum et al. 2024a, 2024b; Hussain et al. 2024)

5) Less preferable than other climate solutions: there is consistent evidence of the public assigning SRM approaches, most of all stratospheric aerosol injection, less support and viewing them to have greater risks versus benefits than carbon dioxide removal and, especially, emissions reduction approaches like renewable energy, energy efficiency and energy conservation (Bostrom et al. 2012; Wright et al. 2014; Amelung and Funke 2015; Bellamy et al. 2016; Merk et al. 2019b; Carlisle et al. 2020, 2022; Jobin and Siegrist 2020; Bellamy 2023; Müller-Hansen et al. 2023; Baum et al 2024a).

6) Need to establish fair regulation/need to distribute benefits and costs fairly: among those who do not oppose SRM on principle, there emerges a call for the establishment of precise regulation delimiting its use, as well as questions over the extent to which this would be feasible (Macnaghten and Szerszynski 2013; Bellamy et al. 2016, 2017; Asayama et al. 2017; Buck 2018; Sugiyama et al. 2020; Hussain et al. 2024). Intergenerational fairness has long been a consideration with calls to ensure future generations are properly equipped to take decisions on the potential use of SRM (Betz, 2012; Goeschl, Heyen, and Moreno-Cruz, 2013, Quaas et al. 2017).

The main themes that emerge from public perception studies (see Table 1) on SRM are as follows:

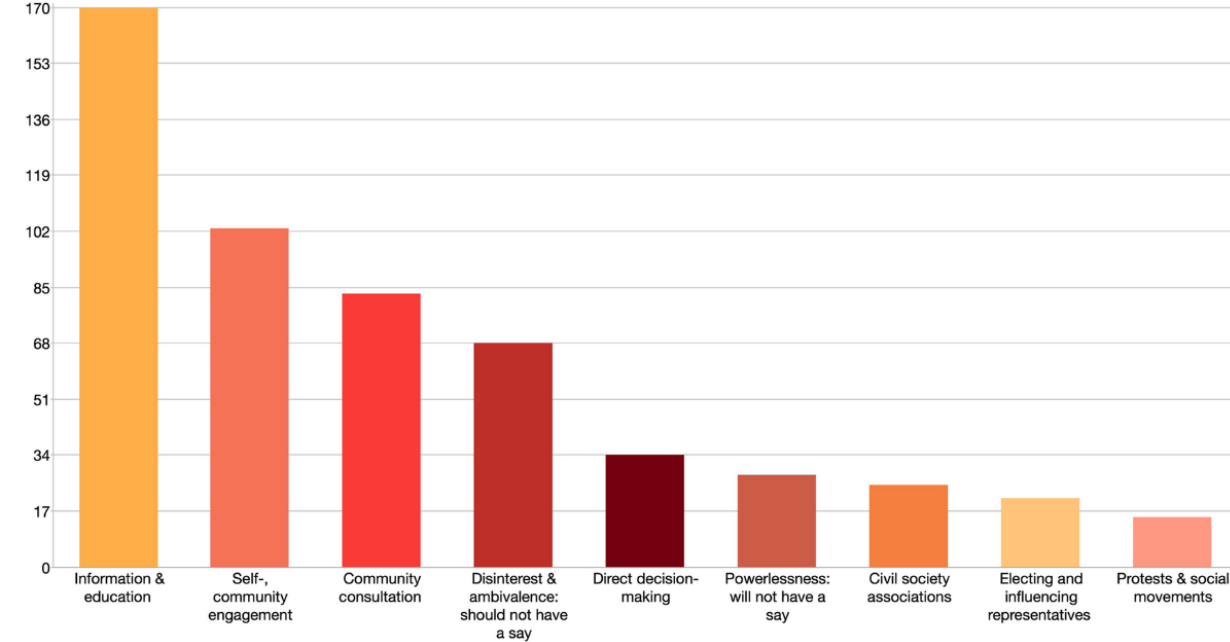
7) Need to inform and consult citizens prior to development and deployment: connected to the previous point, respondents express the need for choices about these technologies to be made with the involvement and consent of citizens, both out of justice considerations and in accordance with democratic principles (Macnaghten and Szerszynski 2013; Bellamy et al. 2016, 2017; McLaren et al. 2016; Asayama et al. 2017; Buck 2018; Sugiyama et al. 2020; Baum et al. 2024a, 2024b) .

8) Conspiracy thinking: though a smaller strand in the literature, there are established connections between discourse and discussions on SRM in the public sphere and prevailing conspiracies (notably, on chemtrails), with this revealed at the individual level through surveys (Mercer et al. 2011; Tingley and Wagner 2017; Bolsen et al. 2022) and in a more general manner through social media analysis (Debnath et al. 2023; Müller-Hansen et al. 2023).

How to involve the public? Recognize 'ecologies of participation'

- Fritz et al. (2024) conducted 44 focus groups in 22 countries to ask a representative sample of the public their preference for community governance involving SRM options such as SAI, MCB and space shields
- They noted preferences across an entire ecology of participation including self-governance, having petitions, and supporting citizens assemblies and plebiscites.

A)



B)



Risk considerations and future work

- Moral hazard – framing SRM as a ‘solution’ to climate change
- Slippery slope – lock-in or path-dependency
- Regional inequalities
- Prediction uncertainty
- Pre-existing legal commitments
- Socio-technical systems dynamics

